

## UTILITY

60991B

**First Named Inventor or Application Identifier**

Express Mail Label No.

EV331475795US

***(Only for new nonprovisional applications under 37 CFR 1.53(b))***

**Title:** PROCESS FOR MANUFACTURING AN  $\alpha$ -DIHYDROXY DERIVATIVE AND EPOXY RESINS PREPARED THEREFROM

**See MPEP chapter 600 concerning utility patent application contents.**

**ADDRESS TO:**

**Commissioner for Patents**  
**Mail Stop Patent Application**  
**P.O. Box 1450, Alexandria, VA 22313-1450**

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| <p>1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form</b><br/> <i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> <b>Specification</b> [Total Pages <u>105</u>]<br/> <i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to Microfiche Appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>3. <input type="checkbox"/> <b>Drawing(s)</b> <i>(35 USC 113)</i> [Total Sheets <u>      </u>]</p> <p>4. <input checked="" type="checkbox"/> <b>Declaration and Power of Attorney</b> [Total Pages <u>      </u>]</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Newly executed (original or copy)</p> <p style="margin-left: 20px;">b. <input checked="" type="checkbox"/> <b>Copy from a prior application (37 CFR 1.63(d))</b><br/> <i>(for continuation/divisional with Box 18 completed)</i><br/> <i>[Note Box 5 below]</i></p> <p style="margin-left: 40px;">i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u><br/> Signed statement attached deleting<br/> inventor(s) named in the prior application,<br/> see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>5. <input checked="" type="checkbox"/> <b>Incorporation By Reference</b><br/> The entire disclosure of the prior application identified in<br/> Box 18 is considered as being part of the disclosure of the<br/> accompanying application and is hereby incorporated by<br/> reference therein.</p> | <p>6. <input type="checkbox"/> <b>Microfiche Computer Program</b> <i>(Appendix)</i></p> <p>7. <input type="checkbox"/> <b>Nucleotide and/or Amino Acid Sequence Submission</b><br/> <i>(if applicable, all necessary)</i></p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Computer Readable Copy</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> Paper Copy (identical to computer copy)</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> Statement verifying identity of above copies</p> |
|--|---|

**ACCOMPANYING APPLICATION PARTS**

<p>8. <input type="checkbox"/> <b>Assignment Papers</b> (cover sheet &amp; document(s))</p> <p>9. <input type="checkbox"/> <b>English Translation Document</b> <i>(if applicable)</i></p> <p>10. <input type="checkbox"/> <b>Information Disclosure Statement (IDS)/PTO-1449</b></p> <p>11. <input type="checkbox"/> <b>Preliminary Amendment</b></p> <p>12. <input checked="" type="checkbox"/> <b>Return Receipt Postcard (MPEP 503)</b>  <i>(Should be specifically itemized)</i></p> <p>13. <input type="checkbox"/> <b>Certified Copy of Priority Document(s)</b>  <i>(if foreign priority is claimed)</i></p> <p>14. <input type="checkbox"/> <b>Associate Power of Attorney</b></p> <p>15. <input type="checkbox"/> <b>Sealed envelope containing confidential information,</b>  which Applicants may request to be expunged from  the application file.</p> <p>16. <input checked="" type="checkbox"/> <b>Authorization for payment of fees and Petition for  Extensions of Time.</b></p> <p>17. <input type="checkbox"/> <b>Other:</b> _____</p>	<p><input type="checkbox"/> <b>Copies of IDS Citations</b></p>
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## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ English Translation Document *(if applicable)*
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
13. ☐ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
14. ☐ Associate Power of Attorney
15. ☐ Sealed envelope containing confidential information, which Applicants may request to be expunged from the application file.
16. ☒ Authorization for payment of fees and Petition for Extensions of Time.
17. ☐ Other: \_\_\_\_\_

**18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

Amend the specification by inserting before the first line, the sentence: "This application is a ☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/899,409." If this application claims the benefit of a provisional application, check this box ☐ This application claims the benefit of U.S. Provisional Application No.          /         , filed         .

## 19. CORRESPONDENCE ADDRESS

☒ *Customer Number*                      000109                      or   ☐ *Correspondence address below*

NAME

**ADDRESS**

**CITY**

STATE

**ZIP CODE**

Please direct any telephonic communication regarding this application to the undersigned Attorney/Agent for Applicants:

~~doe R. Prieto~~

Reg. No.: 30,887

Phone No.: 989-636-9361

**Fax No.: 989-636-3237**

13408 U.S. PTO  
09/09/03

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attorney's Case No. : 60991B

Application of : Clinton J. Boriack, Zeng K. Liao, and Thomas H. Kalantar

For: PROCESS FOR MANUFACTURING AN  $\alpha$ -DIHYDROXY DERIVATIVE  
AND EPOXY RESINS PREPARED THEREFROM

No. of Drawing Sheets: 0

EXPRESS MAIL MAILING LABEL NO. EV331475795US  
DATE OF DEPOSIT: - September 9, 2003

Sir:

Enclosed for filing is the above-identified application. Please charge the estimated fee to our Deposit Account No. 04-1512. An original and 2 copies of this sheet are enclosed.

A. Basic Filing Fee	<u>\$740.00</u>
Total Number of Claims	<u>46</u>
Less (Basic Fee)	<u>20</u>
B. Extra Claims	<u>26</u> x \$ 18.00 = <u>\$468.00</u>
Total Number Independent Claims	<u>3</u>
Less (Basic Fee)	<u>3</u>
C. Extra Independent Claims	<u>0</u> x \$ 84.00 = <u>\$0</u>
D. Multiple Dependent Claims Presented	+ \$280.00 = <u>\$280.00</u>
TOTAL FILING FEE (A+B+C+D) =	<u>\$1488.00</u>

If this estimate is incorrect, please charge or credit our account accordingly.

Respectfully submitted,

  
Joe R. Prieto

Registration No.: 30,887

Phone: 989-636-9361

THE DOW CHEMICAL COMPANY  
Intellectual Property  
P.O. Box 1967  
Midland, MI 48641-1967

Date: September 9, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant (s): Clinton J. Boriack, Zeng K. Liao, and Thomas H. Kalantar

Attorney Docket No.: 60991B

Group Art Unit: Unknown

Filed: Concurrently Herewith

Examiner: Unknown

For: PROCESS FOR MANUFACTURING AN  $\alpha$ -DIHYDROXY DERIVATIVE  
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DATE OF DEPOSIT: September 9, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

AUTHORIZATION FOR PAYMENT OF FEES  
AND PETITIONS FOR EXTENSIONS OF TIME

Pursuant to 37 CFR 1.136(a)(3), please treat any concurrent or future reply in this application which requires a petition for an extension of time under 37 CFR 1.136(a)(1) as incorporating a petition for an extension of time for the appropriate length of time. Please charge any fees required under 37 CFR 1.17 in this application to Deposit Account No. 04-1512.

Respectfully submitted,



Joe R. Prieto

Registration No.: 30,887

Phone: 989-636-9361

Date: September 9, 2003

P. O. Box 1967  
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